

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 August 2003 (28.08.2003)

PCT

(10) International Publication Number
WO 03/070924 A1

(51) International Patent Classification⁷: C12N 9/02, C12P 7/26

(21) International Application Number: PCT/EP03/01473

(22) International Filing Date: 14 February 2003 (14.02.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
02003967.3 22 February 2002 (22.02.2002) EP

(71) Applicant (for all designated States except US): **ROCHE VITAMINS AG** [CH/CH]; 124 Grenzacherstrasse, CH-4070 Basel (CH).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **KATAOKA, Michihiko** [JP/JP]; 24-308, Okazaki-Irie-cho, Sakyo-ku, Kyoto-shi, Kyoto 606-8322 (JP). **SHIMIZU, Sakayu** [JP/JP]; 6-9 Yamashita-cho, Tokiwa, Ukyo-ku, Kyoto-shi, Kyoto-fu 616-8212 (JP).

(74) Agent: **LEDERER & KELLER**; Prinzregentstrasse 16, 80538 München (DE).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **ENONE REDUCTASE**

(57) Abstract: An isolated enone reductase characterized by a molecular mass of 61300 +/- 5000 Da; NADPH and NADH as co-factor; a temperature optimum of 55-60 °C at pH 7.4 ; a pH optimum of 4.5-8.5 and substrate specificity on α,β -unsaturated ketones; a process for producing it from a microorganism and a process for producing levodione from ketoisophorone using such reductase.

WO 03/070924 A1

- 1 -

Enone reductase

The present invention relates to a novel enzyme involved in the production of (6R)-2,2,6-trimethyl cyclohexane-1,4-dione (hereinafter referred to as levodione) from 2,6,6-trimethyl-2-cyclohexene-1,4-dione (hereinafter referred to as ketoisophorone) and a process of producing said enzyme, wherein said enzyme is acting as an enone reductase. Levodione is an important intermediate in the synthesis of carotenoids, e.g. zeaxanthin.

A microbiological process of producing levodione from ketoisophorone has been known, see, e.g. United States Patent 4,156,100.

The enzyme of the present invention belongs to the class of enone reductases and can be obtained from yeast, e.g. *Candida kefyr* or *Zygosaccharomyces rouxii*. The enzyme as isolated from *Candida kefyr* is both NADH and NADPH-dependent, with a molecular mass of 61.3 kDa under gel filtration chromatography. It consists of a single subunit of 45 kDa under denaturing electrophoretic conditions and shows an UV-visible absorption spectrum with peaks at 278, 376 and 460 nm.

It is an object of the present invention to provide an isolated enone reductase having the following physico-chemical properties:

- a) Molecular mass: $61,300 \pm 5,000$ Da
(Consisting of one subunit having a molecular mass of $45,000 \pm 5,000$ Da)
- b) Co-factor: NADPH and NADH
- c) Substrate specificity: active on α , β -unsaturated ketones
- d) Optimum temperature: 55 - 60°C at pH 7.4
- e) Optimum pH: 4.5 - 8.5.

As used herein, the term "enone reductase" encompasses proteins catalyzing the enzymatic reduction of carbonyl activated double bonds according to the Enzyme Nomenclature provided by the Nomenclature Committee of the International Union of Biochemistry and Molecular Biology (NC-IUBMB). It also relates to proteins having the above mentioned activities of an enone reductase, which proteins preferably catalyze the conversion of ketoisophorone into levodione.

More particularly, the present invention relates to isolated proteins having enone reductase activities as described above which are derived from a microorganism capable of producing said protein having the physico-chemical properties as described above.

The microorganisms used for the present invention are selected from yeast, including but not limited to microorganisms belonging to the genus *Candida* or *Zygosaccharomyces*, which are capable of producing enone reductase as defined hereinbefore. Functional equivalents, subcultures, mutants and variants of said microorganisms can also be used in the present invention.

In one embodiment of the present invention, the microorganism is a yeast, preferably *Candida*, more preferably *Candida kefyr*, and most preferably *Candida kefyr* (*Candida macedoniensis*) IFO 0960 or their functional equivalents, subcultures, mutants and variants thereof. The strain *Candida kefyr* (*Candida macedoniensis*) IFO 0960 is publicly available from the Institute for Fermentation Osaka (IFO), 17-85 Juso-honmachi 2-chome, Yodogawa-ku, Osaka, 532-8686 Japan.

As used herein, the microorganisms "*Candida kefyr*" or "*Zygosaccharomyces rouxii*" also include synonyms or basonyms of such species having the same physico-chemical properties, as defined by the International Code of Nomenclature of Prokaryotes.

The enone reductase provided by the present invention can be prepared by cultivating an appropriate microorganism in an aqueous nutrient medium under aerobic conditions, disrupting the cells of the microorganism and isolating and purifying the enone reductase from the cell-free-extract of the disrupted cells of the microorganism.

It is thus another object of the present invention to provide a process for producing the enone reductase having the physico-chemical properties as defined above, which process comprises cultivating a microorganism, which is capable of producing the enone reductase having the above properties, in an aqueous nutrient medium under aerobic conditions, disrupting the cells of the microorganism and isolating and purifying the enone reductase from said cell extract.

Microorganisms used for the process of producing the enone reductase are those already defined above. In one embodiment of the present invention, the microorganism used for the production of enone reductase is a yeast, preferably *Candida*, more preferably *Candida kefir*, and most preferably *Candida kefir* (*Candida macedoniensis*) IF0 0960 or their functional equivalents, subcultures, mutants and variants thereof.

The enone reductase provided by the present invention is useful as a catalyst for the production of levodione from ketoisophorone. It is thus a still further object of the present invention to provide a process for producing levodione from ketoisophorone, which process comprises contacting ketoisophorone with (i) the enone reductase having the physico-chemical properties as defined above in the presence of NADH or NADPH, (ii) the cells or the cell-free extract of said microorganism capable of producing the enzyme as defined in (i), and in each of the cases isolating the resulting levodione from the reaction mixture.

In one embodiment, the microorganism being capable of producing the enzyme as defined above and which is used for the production of levodione is a yeast, preferably *Candida*, more preferably *Candida kefir*, and most preferably *Candida kefir* (*Candida macedoniensis*) IF0 0960 or their functional equivalents, subcultures, mutants and variants thereof.

The microorganism may be cultured in a nutrient medium containing saccharides such as glucose or sucrose, alcohols such as ethanol or glycerol, fatty acids such as oleic acid, stearic acid or esters thereof, or oils such as rape-seed oil or soybean oil as carbon sources; ammonium sulfate, sodium nitrate, peptone, amino acids, corn steep liquor, bran, yeast extract and the like as nitrogen sources; magnesium sulfate, sodium chloride, calcium carbonate, potassium monohydrogen phosphate, potassium dihydrogen phosphate and the like as inorganic salt sources; and malt extract, meat extract and the like as other nutrient sources. The

cultivation can be carried out aerobically, normally within a period of 1 to 7 days at a medium pH of 3 to 9 and a cultivation temperature of 10 to 40°C. More preferably, the cultivation period is between 2 and 4 days, the medium pH is between 5 and 8, and the cultivation temperature is between 25 and 35°C.

5

In the following, an embodiment for isolation and purification of the enone reductase from the microorganism after the cultivation is briefly described:

- (1) Cells are harvested from the liquid culture broth by centrifugation or filtration,
- 10 (2) the harvested cells are washed with water, physiological saline or a buffer solution having an appropriate pH;
- (3) the washed cells are suspended in the buffer solution and disrupted by means of a homogenizer, sonicator, French press or treatment with lysozyme and the like to give a solution of disrupted cells; and
- 15 (4) the enone reductase is isolated and purified from the cell-free extract of the disrupted cells.

20

The reaction can be conducted at pH values of from about 4.5 to about 8.5 in the presence of NADH or NADPH in a solvent, such as Tris-HCl buffer, phosphate buffer and the like. One embodiment of the present invention is related to the process for producing levodione from ketoisophorone using the enone reductase or a microorganism as defined above, wherein the reaction is conducted at a pH in the range of from 4.5 to 8.5, preferably in the range of from 5.0 to 8.0.

25

A further aspect of the present invention is related to the process for producing levodione from ketoisophorone using the enone reductase or a microorganism as defined above, wherein the temperature of the reaction is in the range of from 30 to 60°C, preferably in the range of from 55 to 60°C.

30

When the pH and the temperature are set at 5.0 to 8.0 and 45 to 60°C, respectively, the reaction usually produces the best results. Preferably, the pH is in the range of from 5.0 to 8.0 and the temperature is in the range of from 55 to 60°C.

The concentration of ketoisophorone in a solvent can vary depending on other reaction conditions, but, in general, is between 1 mM and 2 M, preferably between 10 mM and 100 mM.

In the reaction, the enone reductase may also be used in an immobilized state with an appropriate carrier. Any means of immobilizing enzymes generally known in the art may be used. For instance, the enzyme may be bound directly to a membrane, granules or the like of a resin having one or more functional groups or it may be bound to the resin through bridging compounds having one or more functional groups, e.g. glutaraldehyde.

The present invention is not only directed to the isolation of enzymes having enone reductase activity as described above, but also to the cloning of the corresponding genes encoding such enzymes using methods known in the art. The cDNA of such cloned genes might be useful for the introduction into a suitable expression vector, which is then introduced into a host cell, e.g. such as E. coli or yeasts. The recombinant proteins are useful for the conversion of ketoisophorone to levodione, an important intermediate step in the production of carotenoids such as actinol or zeaxanthin.

The physico-chemical properties of the purified sample of the enone reductase prepared according to the Examples mentioned hereinafter are as follows:

1) Enzyme activity

The novel enone reductase of the present invention catalyzes the reduction of ketoisophorone to levodione in the presence of a co-factor according to the following formula:



The standard enzyme assay was performed as follows: a total volume of 1 ml of the basal reaction mixture (200 µl of 1 M Tris-HCl buffer pH 7.5, 100 µl of 80 mM NADH or NADPH, 100 µl of 0.658 M ketoisophorone, 600 µl H₂O) was supplemented by 5 µl of the enzyme solution and incubated at 40°C. One unit of the enzyme activity was defined as the amount of the enzyme, which catalyzes the oxidation of 1 µmol of ketoisophorone per minute. The reaction mixture was extracted by 1 ml of ethylacetate to recover the levodione into the ethylacetate layer. The extract was analyzed by gas chromatography [column: ULBON HR-20M (Shinwa, Japan) 0.25 mmφ x 30m, column temperature: 160°C (constant), injector temperature: 250°C, carrier gas: He (ca. 1ml/min)]. The protein

concentration was determined by using a Bio-Rad protein assay kit (Bio-Rad, USA).

2) Molecular weight

The molecular weight (MW) of the protein was measured with a gel filtration column Superdex 200 (Amersham Biosciences AB, SE-751 84 Uppsala, Sweden).

The apparent molecular mass of the enzyme was calculated to be $61,300 \pm 5,000$ Da in comparison with the molecular weight marker proteins (Boehringer Mannheim Biochemica; Germany) ferritin (molecular mass: 450,000 Da), catalase (molecular mass: 240,000 Da), aldolase (molecular mass: 158,000 Da), bovine serum albumin (molecular mass: 68,000 Da), ovalbumin (molecular mass: 45,000 Da), chymotrypsinogen (molecular mass: 25,000 Da) and cytochrome c (molecular mass: 12,500 Da). SDS-polyacrylamide gel electrophoresis (SDS-PAGE) gave a single band with a molecular weight of $45,000 \pm 5,000$ Da in comparison with the molecular weight marker proteins (Amersham Biosciences AB) phosphorylase b (molecular mass: 97,000 Da), bovine serum albumin (molecular mass: 66,300 Da), ovalbumin (molecular mass: 42,400 Da), carbonic anhydrase (molecular mass: 30,000 Da), and soybean trypsin inhibitor (molecular mass: 20,100 Da). This indicates that the enzyme is composed of one subunit.

3) Co-factor

The cofactor requirement of the enzyme to convert ketoisophorone to levodione was investigated. As a result, NADH and NADPH could serve as a co-factor for this reductive reaction.

3) Substrate specificity

Substrate specificity of the enzyme was determined by using the same enzyme assay method as described above under (1), except that various substrate solutions (0.05% final concentration in the reaction mixture) were used instead of ketoisophorone, and the reaction was done at 30°C. The relative activity (%) of different substrates is compared in Table 1, showing the best result with 3-butene-2-one.

Table 1

Substrate	Relative Activity (%)
Ketoisophorone	100
2-Cyclohexen-1-one	252.1
3-Butene-2-one	403.3
R-(-)-Carvone	56.3
Cinnamaldehyde	216.7
1-Nitro-1-cyclohexen	373.8

5) Optimum temperature

5 The enzyme activities were measured at temperatures from 20 to 70°C by using the same enzyme assay method as described above under (1), except that 2-cyclohexen-1-one was used as a substrate. As summarized in Table 2, the optimum temperature of the enzyme activity was 55 - 60°C.

Table 2

Temperature (°C)	Relative Activity (%)
20	12.7
25	22.5
30	32.2
35	40.5
40	48.6
45	64.2
50	68.1
55	97.5
60	100
65	30
70	10.3

10 5) Optimum pH

The correlation between the enzyme activity and the pH values of the reaction mixture was determined by using the same enzyme assay method as

described above under (1), except that various pHs and buffers were used and 0.05% (final concentration) 2-cyclohexen-1-one was used as a substrate. The optimum pH of the enzyme reaction was 4.5 - 8.5, as shown in Table 3.

Table 3

Buffer	pH	Enzyme activity (Unit/ml)
Sodium acetate	4.0	7.7
	4.5	13.1
	5.0	11.8
	5.5	8.5
Potassium phosphate	5.5	11.2
	6.0	10.4
	6.5	10.9
	7.0	10.9
	7.5	9.6
Tris-HCl	7.5	11.3
	8.0	11.3
	8.5	11.8
	9.0	10.2
Glycine-NaOH	8.5	10.7
	9.0	8.7
	9.5	8.9
	10.0	8.1
	10.5	4.2

5

7) Effect of metal ions and other compounds

Effects of metal ions and other compounds on the enzyme activity were investigated by using the same enzyme assay method as described above under (1), except that 2-cyclohexen-1-one was used as a substrate, and various metals and other compounds were added to the reaction mixture, where the final concentration of metal was 1 mM. Pb ions and trypanoflavine inhibited the enzyme activity strongly. Ions of Ag, Hg, Cu, V and guanidine inhibited the enzyme activity moderately. The results are depicted in Table 4.

10

Table 4

Metal or other compounds	Relative Activity (%)
None	100
LiCl	104
NaCl	108
KCl	103
RbCl	107
AgNO ₃	49.1
CaCl ₂	108
MnCl ₂	94.6
BeSO ₄	80.9
CdCl ₂	104
MgCl ₂	111
CoCl ₂	113
HgCl ₂	40.8
ZnSO ₄	101
CuSO ₄	55.9
NiCl	108
Pb(NO ₃) ₂	0
NH ₄ VO ₃	9.6
Guanidine	61.1
Trypaflavine	0
Thiourea	96.0
Iodoacetate	93.6
NaSCN	93.6
Hydrazine	108
NaF	101
KCN	91.8
EDTA	110

8) Purification procedure

The purification of the enone reductase may, in principle, be effected by any

combination of known purification methods, such as fractionation with precipitants, e.g. ammonium sulfate, polyethylene glycol and the like, ion exchange chromatography, adsorption chromatography, gel-filtration chromatography, gel electrophoresis and salting out and dialysis.

5 The following Examples further illustrate the present invention.

Example 1: Preparation of the enone reductase

All operations were performed at 4°C in 20 mM Tris-HCl buffer (pH 7.0) unless otherwise stated.

(1) Cultivation of *Candida kefir* (*Candida macedoniensis*) IFO 0960

10 *Candida kefir* (*Candida macedoniensis*) IFO 0960 grown on an agar plate was inoculated into 5 ml of the medium (pH 6.0) consisting of D-glucose (5%), peptone (0.5%), KH_2PO_4 (0.2%), K_2HPO_4 (0.1%), $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ (0.02%) and yeast extract (0.1%) in a tube, and incubated for 2 days at 28°C. 10 ml of the seed culture thus prepared were inoculated into 500 ml of the same medium as above in
15 2 l Sakaguchi-flasks, and incubated for 2 days at 28°C. 5 liters of the culture from 10 flasks thus prepared were centrifuged at 8,000 rpm for 20 minutes at 4°C. In total, 60.5 g of wet cells were obtained.

(2) Preparation of the cell-free extract

20 The wet cells (60.5 g) were suspended in 121 ml of the buffer, and sonicated for 2 hours with the power of 190 W by using Kubota Insonator 201 sonicator (Kubota, Japan). After sonication, the sample was centrifuged at 10,000 rpm for 20 minutes. As a result, 139 ml of the cell-free extract containing 1,550 mg of protein was obtained.

(3) Ammonium sulfate precipitation

25 The cell-free extract (80 ml) obtained in the previous step was fractionated with solid ammonium sulfate. The 40 - 80% fraction (78.5 ml) was dialyzed against 5 l of the buffer for 4 times, and 91 ml of the dialyzed solution was obtained.

(4) Diethylaminoethyl-Sephacel column chromatography

The dialyzed sample prepared above was applied to a diethylaminoethyl (DEAE)-Sephacel column (2.5 cm in diameter and 14 cm in height; Amersham Biosciences AB, Sweden) which was equilibrated with the buffer. After washing the column with 200 ml of the same buffer, the enzyme was eluted with 530 ml of a linear gradient of NaCl (0 - 0.6 M). The enzyme activity was eluted with 0.1 M NaCl.

(5) Phenyl-Superose HR10/10 column chromatography

The sample from the previous step was supplemented by NaCl to give a final concentration of 4 M. The column (1 cm in diameter x 30 cm in length; Amersham Biosciences AB, Sweden) was equilibrated with the buffer containing 4 M NaCl, and applied to the above sample. The enzyme was eluted by a 160 ml of linear gradient of the buffer (4 - 0 M NaCl). The enzyme activity was eluted at an NaCl concentration of 2 M.

(6) Superdex 200 HR10/30 column chromatography

The sample from the previous step was applied to a Superdex 200 HR10/30 column (1 cm in diameter x 30 cm in length; Amersham Biosciences AB, Sweden) chromatography. The column was equilibrated with the buffer containing 2 M NaCl, and developed. Fractions having the enzyme activity were collected. A summary of the purification steps of the enzyme is shown in Table 5.

Table 5

Step	Total Activity (milli unit)	Total Protein (mg)	Specific Activity (milli unit/mg)	Purification (- fold)
Cell-free extract	1450	1550	0.934	1
(NH ₄) ₂ SO ₄ treatment	1560	664	2.34	2.51
DEAE Sephacel	933	65.7	14.2	15.2
Pheny Superose	874	17.1	51.2	54.8
Superdex 200	783	15.3	51.3	54.9

(7) Identification of the reaction product

5 The reaction mixture (1 ml) consisting of 10 mg/ml of ketoisophorone, 0.6 mg/ml of NADP⁺, 0.2 mg/ml of glucose dehydrogenase (Amano Enzyme, Japan), and 50 mg/ml of D-glucose in 50 mM Tris-HCl (pH 7.5) buffer was supplemented by 50 milli units of the enzyme from the fraction of Superdex 200 of Table 5. After incubation at 28°C for 24 hours, the mixture was extracted with 1 ml of ethylacetate. The extract was analyzed by gas chromatography. The product was identified to be levodione in comparison with the standard sample of levodione.

Claims

1. An isolated enone reductase having the following physico-chemical properties:

- 5 a) Molecular mass: $61,300 \pm 5,000$ Da
(Consisting of one subunit having a molecular mass of $45,000 \pm 5,000$ Da),
- b) Co-factor: NADPH and NADH,
- c) Substrate specificity: active on α , β -unsaturated ketones,
- d) Optimum temperature: $55 - 60^{\circ}\text{C}$ at pH 7.4,
- 10 e) Optimum pH: 4.5 - 8.5.

2. An enone reductase according to claim 1, which is derived from a microorganism which is capable of producing the enone reductase having the properties as defined in claim 1.

3. An enone reductase according to claim 2, wherein the microorganism is a yeast.

4. An enone reductase according to claim 2, wherein the microorganism is *Candida kefyr* (*Candida macedoniensis*) IFO 0960, its functional equivalents, subcultures, mutants or variants.

5. A process for producing an enone reductase having the following physico-chemical properties:

- 20 a) Molecular mass: $61,300 \pm 5,000$ Da
(Consisting of one subunit having a molecular mass of $45,000 \pm 5,000$ Da),
- b) Co-factor: NADPH and NADH,
- 25 c) Substrate specificity: active on α , β -unsaturated ketones,
- d) Optimum temperature: $55 - 60^{\circ}\text{C}$ at pH 7.4,
- e) Optimum pH: 4.5 - 8.5.

which process comprises cultivating a microorganism, which is capable of

producing the enone reductase having the above properties, in an aqueous nutrient medium under aerobic conditions, disrupting the cells of the microorganism, and isolating and purifying the enone reductase from said extract.

6. The process according to claim 5, wherein the microorganism is a yeast.

5 7. A process for producing levodione from ketoisophorone which process comprises contacting ketoisophorone with

(i) an enone reductase having the following physico-chemical properties:

a) Molecular mass: $61,300 \pm 5,000$ Da
(Consisting of one subunit having a molecular mass of $45,000 \pm 5,000$

10 Da),

b) Co-factor: NADPH and NADH,

c) Substrate specificity: active on α , β -unsaturated ketones,

d) Optimum temperature: 55 - 60°C at pH 7.4,

e) Optimum pH: 4.5 - 8.5.

15 in the presence of NADH or NADPH; or

(ii) cells or a cell-free extract of a microorganism capable of producing the enzyme as defined in (i),

and isolating the resulting levodione from the reaction mixture.

8. The process according to claim 7, wherein the microorganism is a yeast.

20 9. The process according to claims 7 or 8, wherein the reaction is conducted at a pH in the range of from 4.5 to 8.5.

10. The process according to any one of claims 7 to 9, wherein the temperature of the reaction is in the range of from 30 to 60°C.

11. The invention substantially as described hereinbefore, especially with
25 reference to the Examples.

INTERNATIONAL SEARCH REPORT

Intern

Application No

PC1, cr 03/01473

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12N9/02 C12P7/26

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 C12N C12P C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, EMBASE, MEDLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	KATAOKA MICHIIHIKO ET AL: "Old Yellow Enzyme from <i>Candida macedoniensis</i> catalyzes the stereospecific reduction of the C=C bond of ketoisophorone." BIOSCIENCE BIOTECHNOLOGY AND BIOCHEMISTRY, vol. 66, no. 12, 20 December 2002 (2002-12-20), pages 2651-2657, XP009011699 ISSN: 0916-8451 the whole document	1-10
A	EP 1 074 630 A (HOFFMANN LA ROCHE) 7 February 2001 (2001-02-07) page 2, line 20 -page 3, line 48; example 1 -/-	1-10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

16 June 2003

Date of mailing of the international search report

30/06/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Donath, C

INTERNATIONAL SEARCH REPORT

Intern Application No

PCT/EP 03/01473

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WANNER PETER ET AL: "Purification and characterization of two enone reductases from <i>Saccharomyces cerevisiae</i> " EUROPEAN JOURNAL OF BIOCHEMISTRY, BERLIN, DE, vol. 255, no. 1, July 1998 (1998-07), pages 271-278, XP002202649 ISSN: 0014-2956 the whole document	1-10
A	US 4 156 100 A (BOGUTH WALTER ET AL) 22 May 1979 (1979-05-22) cited in the application column 2, line 6 -column 5, line 20; example 5; table 5	1-10
T	WADA MASARU ET AL: "Production of a doubly chiral compound, (4R,6R)-4-hydroxy-2,2,6-trime thylcyclohexanone, by two-step enzymatic asymmetric reduction." APPLIED AND ENVIRONMENTAL MICROBIOLOGY, vol. 69, no. 2, 20 February 2003 (2003-02-20), pages 933-937, XP009011700 ISSN: 0099-2240 the whole document	1-10

INTERNATIONAL SEARCH REPORT

In international application No.
PCT/EP 03/01473**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: 11
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International Application No. PCT/EP 03 01473

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 11

Present claim 11 relates to an extremely large number of possible compounds/products/methods. In fact, the claim contains so many options, variables and possible permutations that a lack of clarity and conciseness within the meaning of Article 6 PCT arises to such an extent as to render a meaningful search of the claim impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear and concise, namely claims 1 - 10.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

Inten Application No

PCT/EP 03/01473

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 1074630	A	07-02-2001	EP 1074630 A2	07-02-2001
			BR 0003302 A	05-06-2001
			CA 2315837 A1	02-02-2001
			CN 1285410 A	28-02-2001
			JP 2001061488 A	13-03-2001
			US 6428991 B1	06-08-2002
US 4156100	A	22-05-1979	CH 605533 A5	29-09-1978
			US 3988205 A	26-10-1976
			US 4072715 A	07-02-1978
			US 4095038 A	13-06-1978
			AT 347422 B	27-12-1978
			AT 645575 A	15-05-1978
			BE 832565 A1	20-02-1976
			DE 2537060 A1	04-03-1976
			FR 2303797 A1	08-10-1976
			FR 2303786 A1	08-10-1976
			FR 2303798 A1	08-10-1976
			GB 1508195 A	19-04-1978
			GB 1508197 A	19-04-1978
			IT 1041904 B	10-01-1980
			JP 1229051 C	19-09-1984
			JP 55033463 A	08-03-1980
			JP 59001692 B	13-01-1984
			JP 1209256 C	29-05-1984
			JP 51082789 A	20-07-1976
			JP 58007277 B	09-02-1983
			NL 7509925 A ,B,	24-02-1976
			US 4026949 A	31-05-1977
			GB 1508196 A	19-04-1978